

Key

RED RISK  
CLOSED RISK

Risk ID	Risk					Corporate Objective	Gross Risk		Residual Risk		Current Risk		Owner	Date Risk Reviewed	Proximity of Risk (Projects/ Contracts Only)
							1 to 6	I	P	I	P	I			
Category-000- Service Area Code	Risk Title	Opportunity/Threat	Risk Description	Risk Cause	Consequence	Date raised	1 to 6	I	P	I	P	I	P		
TMS-001	Loss of capital investment	T	Loss of capital investment – if counterparty invested in collapses and is unable to repay the original investment	Collapse of counterparty, counterparty unable to repay investments	The Council will lose money which could potentially have an adverse effect on operational funding	01-Dec-13	6	3	2	2	2	3	2	Anna Winship	01-Dec-12
TMS-002	Interest Rates	O/T	Interest Rates – Although interest rates are currently at an all time low, the period of time that they remain at this level is to be considered. A prolonged period will affect the long term returns for the organisation.	No change to base rate and associated market investment rates, or rates that only move upwards slowly over a prolonged period of time	The Council will not be able to realise the returns on investment as previously projected in the budget	01-Dec-13	6	3	3	2	2	2	2	Anna Winship	01-Dec-12
TMS-003	Revenue Budgets	T	Revenue budgets are unable to meet borrowing costs of capital schemes	Revenue budgets come under pressure from restricted government funding or non delivery of programmed savings	The Council might not be able to execute some desired projects.	01-Dec-13	6	3	3	2	2	2	2	Anna Winship	01-Dec-12
TMS-004	Suitable Counterparties for investments	T	With potential for increased investments over the coming months there may be a risk that the number of suitable counterparties deminishes	continuing economic downturn and increased investments	use of counterparties who do not meet our full criteria or use of counterparties not paying best value rates	01-Dec-13	6	3	2	2	2	3	2	Anna Winship	01-Dec-12
TMS-005	Counterparty risk of house bank	T	The Co-operative bank no longer meet the Council's criteria, and are withdrawing their services to Local Authorities, the risk is of a deminishing service as the contract comes to an end	Co-operative Bank removing support to Local Authorities	Deminishing service towards the end of the contract	01-Dec-13	6	2	3	2	3	2	2	Anna Winship	01-Dec-12
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279

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